

**PHYSICIAN / PROVIDER CHECK LIST**  
**AUDIT UNIT INFRACTIONS**

- 1 **Failure to timely respond to a request for authorization:** *Per CCR 9792.6, for requests (DFR or PR-2) received from 1/1/99 to 12/31/03, payor required to respond in writing (authorizing, denying, or requesting additional information) within 7 working days from receipt of request. Per LC 4610, for requests received post 1/1/04, payor required to respond in writing (authorizing, denying, or requesting additional information) within 5 working days, but in no event beyond 14 calendar days from receipt of request. Regardless of date request is received, only a physician may issue written denial, delay letter, or modification. Any payor representative can authorize a request in writing.*
- 1 **Failure to timely object or pay for treatment:** *Per LC 4603.2, for treatment bills received by payor prior to 1/1/00, payor to object or pay within 60 calendar days from receipt of bill with all necessary documentation attached. For treatment bills received by payor from 1/1/00 to 12/31/03, with all necessary documentation attached, objection to issue within 30 working days, or payment to issue within 60 calendar days. For treatment bills received by payor post 1/1/04, objection to issue within 30 working days, or payment to issue within 45 working days.*  
*EXCEPTION IF EMPLOYER IS GOVERNMENTAL ENTITY: For treatment bills received by payor post 1/1/04, with all necessary documentation attached, objection to issue within 30 working days, or payment to issue within 60 working days.*
- 1 **Failure to timely object or pay for Medical-Legal:** *Objection or payment to issue within 60 calendar days from receipt of bill with report. If contested, payment to issue within 20 days of Order, filed by WCJ, directing payment.*
- 1 **Failure to self-impose statutory increase & interest on late payment for treatment:** *For treatment bills received prior to 1/1/04, if payment issued untimely, payor obligated to self-impose 10% statutory increase and 10% interest. For bills received prior to 1/1/04, payment to issue within 60 calendar days. For bills received post 1/1/04, payment to issue within 45 working days. If employer is governmental entity, bills received post 1/1/04 are to be paid within 60 working days. For bills received post 1/1/04, statutory increase is 15% and interest is 10%.*
- 1 **Failure to self-impose statutory increase & interest on late payment for Medical-Legal:** *Regardless of date of receipt, payment to issue within 60 calendar days. If paid late, self-imposition of statutory increase is 10% and interest is 7%.*